



First Clearing

About First Clearing

Now in our fourth decade of service to quality, independently owned broker-dealers, First Clearing maintains a leading position in empowering firms, their leaders, and their advisors to compete successfully – to help their clients succeed financially.

First Clearing's team of experienced professionals offer some of the industry's best insight and innovative approaches to business advancement. As part of Wells Fargo Clearing Services, LLC, one of the nation's largest brokerage firms, First Clearing has a unique industry perspective and the ability to support a range of business models. Client firms and advisors enjoy access to a vast array of resources, including: a full range of investment, advisory, and lending products; industry-leading research; fixed income, retirement, and trust services; advanced advisor workstation and workflow technologies; risk management and compliance support; and advisor recruiting services. Financial advisors with client firms have exclusive access to First Clearing's Growth Accelerator® — a professional-development and practice-consulting program designed to help take an advisor's practice to the next level.

Investment and insurance products are:

NOT FDIC Insured	NO Bank Guarantee	MAY Lose Value
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Account Protection

First Clearing is a member of the Securities Investor Protection Corporation (SIPC), a nonprofit, Congressionally chartered membership corporation created in 1970. SIPC protects clients against the custodial risk of a member investment firm becoming insolvent by replacing missing securities and cash up to \$500,000, including up to \$250,000 in cash, per client in accordance with SIPC rules. (Note that SIPC coverage is not the same as, nor is it a substitute for, FDIC deposit insurance; securities purchased through First Clearing are not FDIC-insured.)

For more information about SIPC, please visit sipc.org. In addition to SIPC, First Clearing maintains a program of additional insurance coverage through London Underwriters (led by Lloyd's of London Syndicates), referred to here as "Lloyd's".

For clients who have received the full SIPC payout limit, First Clearing's policy with Lloyd's provides additional coverage above the SIPC limits for any missing securities and cash in client investment accounts up to a firm aggregate limit of \$1 billion (including up to \$1.9 million for cash per client). In other words, the aggregated amount of all client losses covered under this policy is subject to a limit of \$1 billion, with each client covered up to \$1.9 million for cash. This account protection package does not cover losses resulting from declines in the market value of client investments. All coverage is subject to the specific policy terms and conditions.

888-322-2532 · firstclearing.com

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